

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: **Baiocchi et al.**

Examiner: **Renee R. Berry**

Serial No.: **10/673,539**

Group Art Unit: **2829**

Filed: **September 29, 2003**

Confirmation No.: **1844**

For: **METAL-OXIDE SEMICONDUCTOR DEVICE WITH ENHANCED SOURCE ELECTRODE**

**CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Post Office to Addressee in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 using Express Mail Label No. EV516208259US on the date shown below.

6/20/05  
Date \_\_\_\_\_  
Joseph A. Powers  
JOSEPH A. POWERS, Registration No. 47,006

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☐ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. **[37 CFR § 1.97(b)]**

☒ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. **[37 CFR § 1.97(c)]**

☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. **[37 CFR § 1.97(d)]**

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

☐ No separate requirements are needed.

☐ No additional fee is required.

☒ **Fee Under 37 CFR § 1.97(c)**

The fee of \$180.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Fee Under 37 CFR § 1.97(d)**

The fee of \$180.00 for submission of an information disclosure statement under § 1.97(d) set forth in § 1.17(p) accompanies this statement.

☐ **Certification Under 37 CFR § 1.97(e)**

☐ Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or

☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

**Provision of Copies of References**

☐ Copies of the non-U.S. patent references listed on the attached Form PTO-1449 are enclosed herewith and form a part hereof.

- ☐ Translations or partial translation of the indicated references are enclosed herewith and form a part hereof.
- ☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

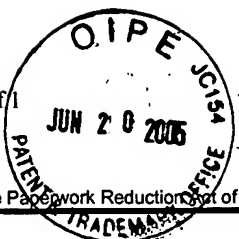
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior U.S. Application No(s). . The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application: F and G.
- ☐ The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

Respectfully submitted,

DATE: 6/20/05

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

<b>Application Number</b>	10/673,539
<b>Filing Date</b>	September 29, 2003
<b>First Named Inventor</b>	Frank A. Baiocchi et al.
<b>Art Unit</b>	2829
<b>Examiner Name</b>	Renee R. Berry
<b>Attorney Docket Number</b>	E3755-00002

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (If known)			
	A	4,455,565	06-19-1984	Goodman et al.	
	B	5,155,563	10-13-1992	Davies et al.	
	C	5,252,848	10-12-1993	Adler et al.	
	D	5,841,166	11-24-1998	D'Anna et al.	
	E	5,907,173	05-25-1999	Kwon et al.	
	F	5,912,490	06-15-1999	Hebert et al.	
	G	5,949,104	09-07-1999	D'Anna et al.	
	H	6,001,710	12-14-1999	Francois et al.	
	I	6,215,152	04-10-2001	Hebert	
	J	6,831,332	12-14-2004	D'Anna et al.	
	K	2005/0017298	01-27-2005	Xie et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear	T
		Country Code - Number - Kind Code (If known)				

PH11449312.1

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.